A Complementary (and Challenging) Implementation of Pre-Emption For Inter- and National Security Outcomes

Russell E. Bryant
PEO(IWS) 2E24
russell.bryant@navy.mil
OUTLINE - FOR OUTCOMES

• Another View of Pre-Emption
• DOTMLP-F – It’s Source and Use
• The Un-Certain Environment for Tools of National Power
• DOTMLP-F Transition from DoD to National & Beyond
• Review of Complementary Pre-Emption Concept
• Supporting CCRP Examples
• The ‘So What?’ – Organizational Summary
  – The Horizontal and Vertical Integration
• Final Take Aways

To Stimulate Thought and Discussion
TAKE AWAYS

• The Employment of Soft Power In All Forms
• Opportunity to Expand DOTMLP-F Framework Use
• Engagement, Coalitions, Partnerships
  – At All Organizational Levels
• Whole of Government & Nation Approach
• All Levels of Connection & Interaction For Resolution Opportunities
• Model: A Cooperative Strategy for 21st Century Seapower

Obstacles and Gaps are Opportunities for Solutions and Improvements
ANOTHER VIEW OF PRE-EMPTION

• PRE-EMPTION:
  – preemption is the action ‘to take possession before others’; preempt includes ‘to seize upon to the exclusion of others’, and ‘to prevent from happening or taking place’

• PREVENTION
  – prevent includes ‘to keep from happening or existing’ (with ‘forestall’ as a synonym); and, prevention is ‘the action of preventing’

• To Act is to forestall something from taking place

Distinction in the Time Scale Considered Along with the Root Cause
ANOTHER VIEW OF PRE-EMPTION

• Much attention and discussion toward WMD
• Other Hand – International Law
  – Minimum World Order concept from UN Charter
  – Customary & International Law – self-defense
• What becomes the correct action point
  – The root cause vice symptom
  – Opportunity: The fundamental conditions which generate the root cause vice symptom
• Coalitions to Pre-empt/Prevent Root Causes

When meeting the MWO & IL Conditions – Address the Fundamental Conditions which generate the root cause
2002 & 2006 NATIONAL SECURITY STRATEGIES – STABILITY AND CHANGE

• Champion aspirations for human dignity;
• Strengthen alliances to defeat global terrorism and work to prevent attacks against us and our friends;
• Work with others to defuse regional conflicts;
• Prevent our enemies from threatening us, our allies, and our friends with weapons of Mass destruction (WMD);
• Ignite a new era of global economic growth through free markets and free trade;
• Expand the circle of development by opening societies and building the infrastructure of democracy;
• Develop agendas for cooperative action with other main centers of Global Power;
• Transform America’s National Security institutions to meet the challenges and opportunities of the twenty-first century; and,
• Engage the opportunities and confront the challenges of Globalization.

LOTS OF EDGES, CHALLENGES, OPPORTUNITIES, AND GAPS TO OVERCOME ACROSS ORGANIZATIONS LARGE AND SMALL – LOCAL AND INTERNATIONAL ASPECTS OF ORGANIZATIONAL STABILITY AND ADAPTABILITY ?? STABLE LONG TERM – ADAPTING SHORTER TERM ??

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Reasons for Preventing Disorder Beyond Borders

As a wealthy status quo power, the United States has an interest in maintaining international order. Behind the abstractions about rising interdependence are changes that make it more difficult to isolate the United States from the effects.

More concretely, there are two simple reasons why Americans have a national interest in preventing disorder beyond our borders.

1st, events and actors out there can hurt us;

and,

2nd, Americans want to influence distant governments and organizations on a variety of issues such as the proliferation of weapons of mass destruction, terrorism, drugs, shared resources, and the environment.

Engage: Just Cause; Discriminating Response; Proportionality; and High Probability of Good Outcome

Joseph S. Nye, Jr., Redefining the National Interest, Foreign Affairs - Volume 78 No 3, p. 27.
Synergy -
Emerging Operational Concepts
Enabled By Information Superiority
And Technological Innovation ...
Fused Through Co-evolution of
DOTMLP-F
THE UN-CERTAIN ENVIRONMENT FOR TOOLS OF NATIONAL POWER
Challenging 21st Century Military and Civilian Security Environment

- Anti-Civilian Terrorism
- Anti-Military Terrorism
- Anti-IGO / NGO Terrorism
- Non-State Actors
- Terrorism
- Peacekeeping
- Crossnational Actors
- Failed States
- Weapons Proliferation
- Weapons of Mass Destruction
- Information Security

Derived from: Joint Vision 2010/2020 Standard Briefs
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National Defense Model Environmental Context

Flood
- Event is widespread & sustained
- Irregular (such as insurgency)
- Traditional
- Systems are robust

Ice Storm
- Catastrophic (such as chemical or biological attack)
- Disruptive (such as sabotage of U.S. electrical grid)
- Events are discrete & episodic
- Systems are vulnerable

Tornado

Hurricanes

The Strategic Transformation as Manifested in QDR
The Opportunity for Prevention/Pre-Emption Effects

Source: 2006 Quadrennial Defense Review Report, Figure, p. 19.

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Security Environment: Four Challenges

**Higher Likelihood**

- Those seeking to *erode* American influence and power by employing *unconventional* or *irregular* methods (e.g., terrorism, insurgency, civil war, and emerging concepts like “unrestricted warfare”)
  - **Likelihood:** Very high, strategy of the weak
  - **Vulnerability:** Moderate, if not effectively checked

- Those seeking to *paralyze* American Leadership and power by employing WMD and WMD-like effects in *Unwarned attacks* on symbolic, Critical, or other high-value targets (e.g., 9/11. terrorist use of WMD, Rogue missile attack)
  - **Likelihood:** Moderate and increasing
  - **Vulnerability:** Unacceptable; single Event could alter American way of life

**Lower Likelihood**

- States seeking to challenge American power by instigating *traditional* military operations with *legacy* and *advanced* military capabilities (e.g., conventional, air, sea, and land forces, and nuclear forces or established nuclear power)
  - **Likelihood:** Decreasing (absent preemption) due to historical capability overmatch and expanding qualitative lead
  - **Vulnerability:** Low, only if transformation is balanced

- Those seeking to *usurp* American influence and power acquiring *breakthrough capabilities* (e.g., sensors, information, biotechnology, miniaturization on the molecular level, cyber-operations, space, directed-energy, and other emerging fields)
  - **Likelihood:** Low, but time works against U.S.
  - **Vulnerability:** Unknown; strategic surprise puts American security at risk


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Line of Sight for All Government

A Cooperative Strategy for 21st Century Seapower
(GMP/TSN)

Organizations & Agencies

SECNAV
ASN RDA
CNO N...
Etc.

RMA
Tech Org People

Needed: Specialists at Being Generalists – Adaptability Across Organizations

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Top Level ‘Line-of-Sight’ - Defense

National Security Strategy

- Champion Aspirations for human dignity
- Strengthen alliances to defeat global terrorism and work to prevent attacks on the United States and our allies
- Work with others to defuse regional conflicts
- Prevent our enemies from threatening the United States, our allies, and our friends with WMD
- Expand the circle of development by opening societies and building the infrastructure of democracy
- Develop agendas for cooperative action with other main centers of global power
- Ignite a new era of global economic growth through free markets and free trade
- Transform America’s national security institutions to meet the challenges and opportunities of the 21st century

National Defense Strategy

Objectives
- Defend the Homeland
- Win the Long War
- Promote Security
- Deter Conflict
- Win ‘Our Nations’ Wars

Realize Objective Through
- Shape the Choices of Key States
- Prevent Adversaries from Acquiring or Using Weapons of Mass Destruction (WMD)
- Strength and Expand Alliances and Partnerships
- Secure U.S. Strategic Access and Retain Freedom of Action
- Integrate and Unify our efforts: A New “Jointness”

National Military Strategy

Objectives of the Military
- Protect the United States against attacks and aggression
- Prevent conflict and surprise attacks
- Prevail against adversaries

- In support of objectives of the NDS the armed Forces conduct military activities globally to carry out the three mission points.

“... Soft power capabilities ... and elements of national power ... must tap the full strength of America and its people ...”
National Military Strategy

Protect

The United States Against
External Attacks and Aggression

Prevent

Conflict and
Surprise

Prevail

Against
Adversaries

In Support the National Defense Strategy Objectives the
Armed Forces Conduct Military Activities Globally

Derived from JV 2010 Standard Brief – Updated for new NMS and NDS

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The Global Politics of Civilizations: Dynamic/Shifting Emerging Alignments

Derived from ‘The Clash of Civilizations and the Making of World Order’, Samuel P. Huntington, Touchstone, 1997, Fig. 9.1, p. 245.
Synergy - Emerging Operational Concepts Enabled By Information Superiority And Technological Innovation ... Fused Through Co-evolution of DOTMLP-F

Full Soft/Hard Power Dominance

For ALL Govt / NGO / IGO Allies / Businesses

Synergy achieved only through co-evolution of:
- Joint Doctrine
- Agile Organizations
- Joint Training & Education
- Enhanced Materiel
- Innovative Leadership,
- High Quality People, and
- Requisite Facilities

Derived From: Joint Vision 2010 Standard Brief

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WHAT TO DO?!?

- Lincoln’s “hopes for the poor were the nation’s economic development and material advance. These were to be promoted and nurtured by an interventionist, forward-looking government, doing “for a community of people, whatever they need to have done, but can not do, [at all], or can not, [so well do], for themselves – in their separate, and individual capacities.” (Carwardine, 2006)

- Soft power balanced with hard power to purchase solutions and prevent conflict

- All the National and International Tools of Power to Aid and Partner

Move to an ALL AGENCY – International Framework
REVIEW OF COMPLEMENTARY PRE-EMPTION CONCEPT FOR OUTCOMES

- Develop Understanding, Coordination, and Interactions for Pre-emptive Positive Outcome
- Within and Between the Extended Framework of Organizations Which Act and are Connected Internationally
- NAVAL FORCES ARE ONLY ONE OF THE EXECUTIVE ORGANIZATIONS PRESENT IN THE LITTORALS - A LARGE TEAM ALREADY ON SCENE - COMMERCIAL INDUSTRIES INCLUDED
  - An Example for the Future – Like Maritime Strategy
DEMONSTRATIVe – SUPPORTING CCRP EXAMPLES

• “Command and Control Simulation for Domestic Operations” – Wheaton, et al., 2007
• “Developing Command and Control Performance-Based Training Criteria in a Network Centric Environment” – Tossell, et al., 2006
• “The Formal Representation of Administrative and Operational Relationships within Defense Organizational Constructs” – Chamberlain & Boller, 2006
• “Mission Command in the Networked Era” – Stewart, 2006
DOTMLP-F TRANSITION FROM DOD TO NATIONAL & BEYOND FOR OUTCOMES

• Develop Understanding, Coordination, and Interaction Patterns
• Within and Between the Extended Framework of Organizations
• Acting and Interacting Internationally
• Patching the Holes in the Boat and Raising All for the Common Good

Multiple Levels and Directions of Connection and Interaction – Known, Understood, & Adaptively Employed – CAPT CABRILLO (Cussler)
Albright “Countries must be asked to identify capable personnel who will be dedicated to the job of humanitarian response and prepared over a period of years to excel in that function. . . . Their military and paramilitary components would be accompanied by civilian administrators and prosecutors affiliated with international legal authorities. . . . It would be the international equivalent of a cavalry that leaders could call on to ride to the rescue in emergencies.”

Maritime Strategy Example

Capt Cabrillo Team

New Jointness – All Players and Stakeholders

Doing the Ultimate ‘Windows Work’ for Those in Need
  – Their Need – Known and Unknow
“The greatest challenge of science, its art, lies in asking an important question and framing it in a way that allows it to be broken into manageable pieces, into experiments that can be conducted that ultimately lead to answers. To do this requires a certain kind of genius, one that probes vertically and sees horizontally.

“Horizontal vision allows someone to assimilate and weave together seemingly unconnected bits of information. It allows an investigator to see what others do not see, and to make leaps of connectivity and creativity. Probing vertically, going deeper and deeper into something, creates new information. Sometimes what one finds will shine brilliantly enough to illuminate the whole world.

“At least one question connects the vertical and the horizontal. That question is “So What?”

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