



Staged Appreciation

looking out and in for black swans

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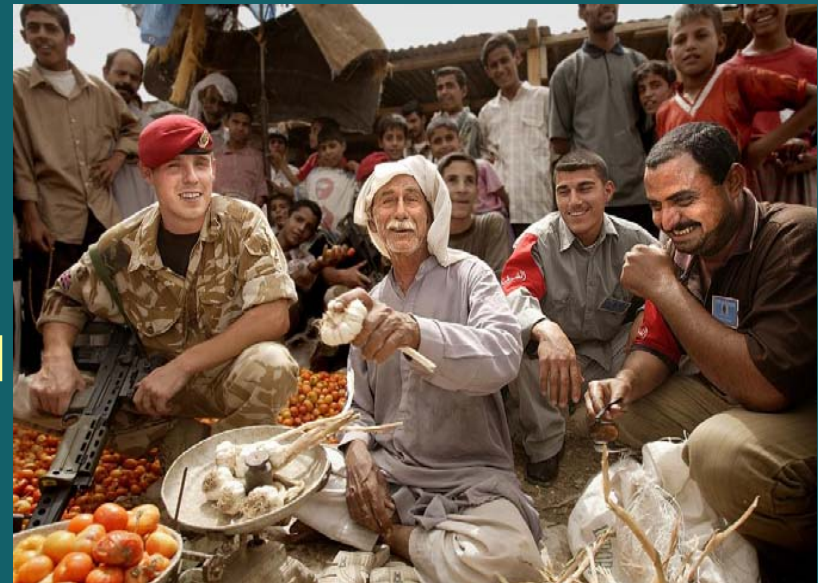
Developed in collaboration with:
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Anthony Alston, Paddy Turner CfASS, Defence Academy of UK
Prof Gwyn Prins, Mackinder Centre, London School of Economics
General Sir Rupert Smith, British Army

General assumption

The problems facing us in contemporary operations are socially complex as well as being open-ended.

Research question

How might we use an open multi-perspective approach to help us more openly understand how to support decision-making in socially complex situations?



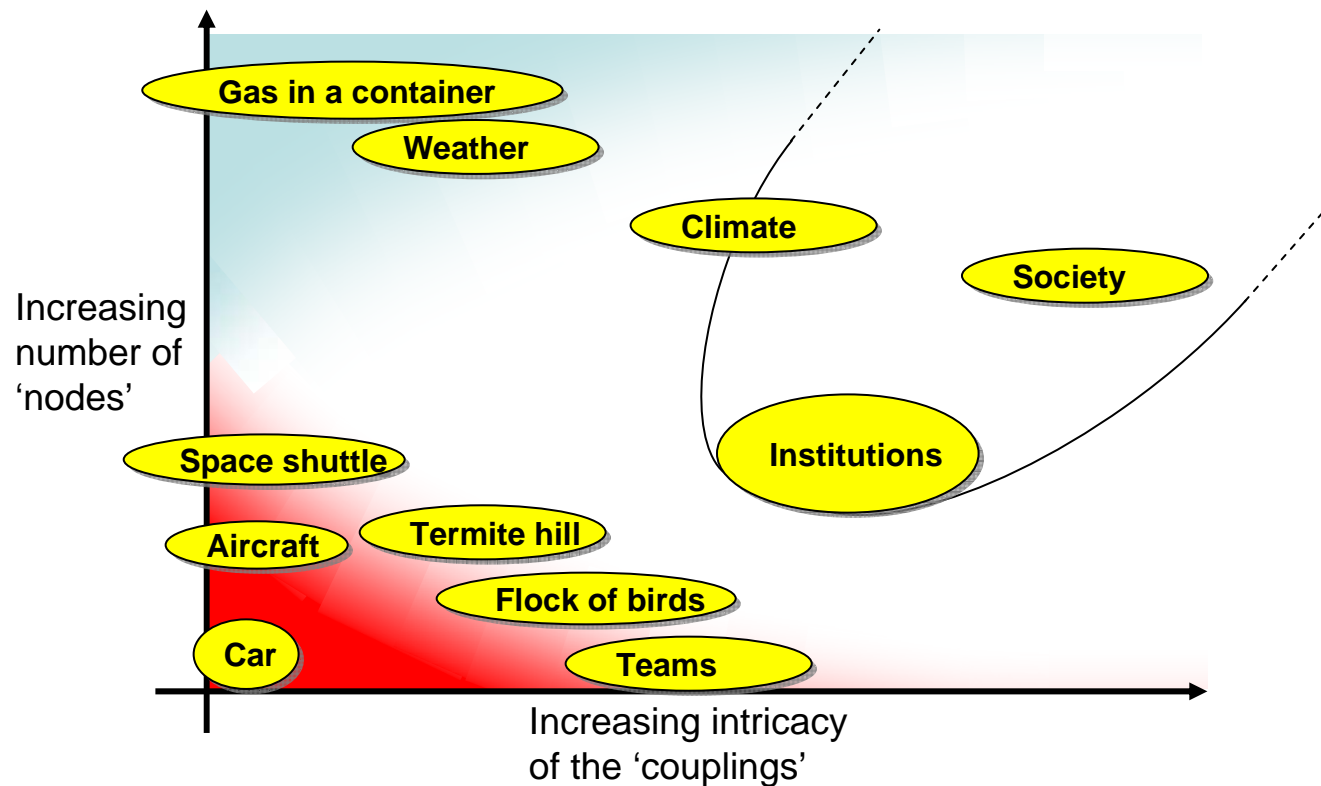
First we need to introduce some concepts and do some re-orientation.

Environmental and Social complexity

Consider complexity in terms of:

- Environmental complexity, which demands that sense-making and response actions need to consider unexpected extents of interactions and unanticipated effects of actions;
 - what *can* happen rather than what *will* happen.
- Social complexity, which asks for attention to be widened and points of view to be broadened to take in relationships (actual and achievable) in order to see the potential for possible options, interdependencies and re-configurations;
 - what *could* happen rather than what *should* happen.

Example Systems



Character of decision and analysis

	Single common goal	Nested goals Common purpose	Multiple conflicting goals
situational complexity ↑	<ul style="list-style-type: none"> ➤ agreed anarchy ➤ power-to-the-edge <p>GARBAGE-CAN</p>	<ul style="list-style-type: none"> ➤ mission command ➤ consensus-seeking ➤ act-sense-adapt <p>POLITICAL Compatible</p>	<ul style="list-style-type: none"> ➤ binary decisions ➤ freedom of action ➤ new approaches 'wicked' problems <p>Conflicting</p>
	<ul style="list-style-type: none"> • quantitative methods • operational analysis • scenario-based study • kill-chains <p>PROCESS</p>	<ul style="list-style-type: none"> • multi-criteria analysis • multi-attribute utility <p>RATIONAL</p>	<ul style="list-style-type: none"> ➤ perfect market ➤ hand-over control (e.g. air traffic control)
	social complexity →		

Meeting the challenges through open inquiry

Many practitioners who have experienced contemporary operations might agree that they are characterised by four things in particular: complexity, ambiguity, uncertainty and volatility and by the fact that they all tend to be 'wicked problems' – problems that are intractable and circular with complex inter-dependencies – where solving one problem can create further problems or make the whole problem greater.

Kiszely, J. (2008). 'Coalition Command in Contemporary Operations', in Williamson M (ed) *Democracies in Partnership: 400 Years of Transatlantic Engagement*.

Open approach: going...

...away from solely:

- objective and normative analyses
- single viewpoint
- fixed frames
- probability
- trend prediction
- advocacy

....towards:

- subjectively sensed appreciations
- multiple viewpoints
- adaptive framing
- possibility
- open imagination
- balance with inquiry

Belief and surprise

Belief

Surprise

maximum
surprise

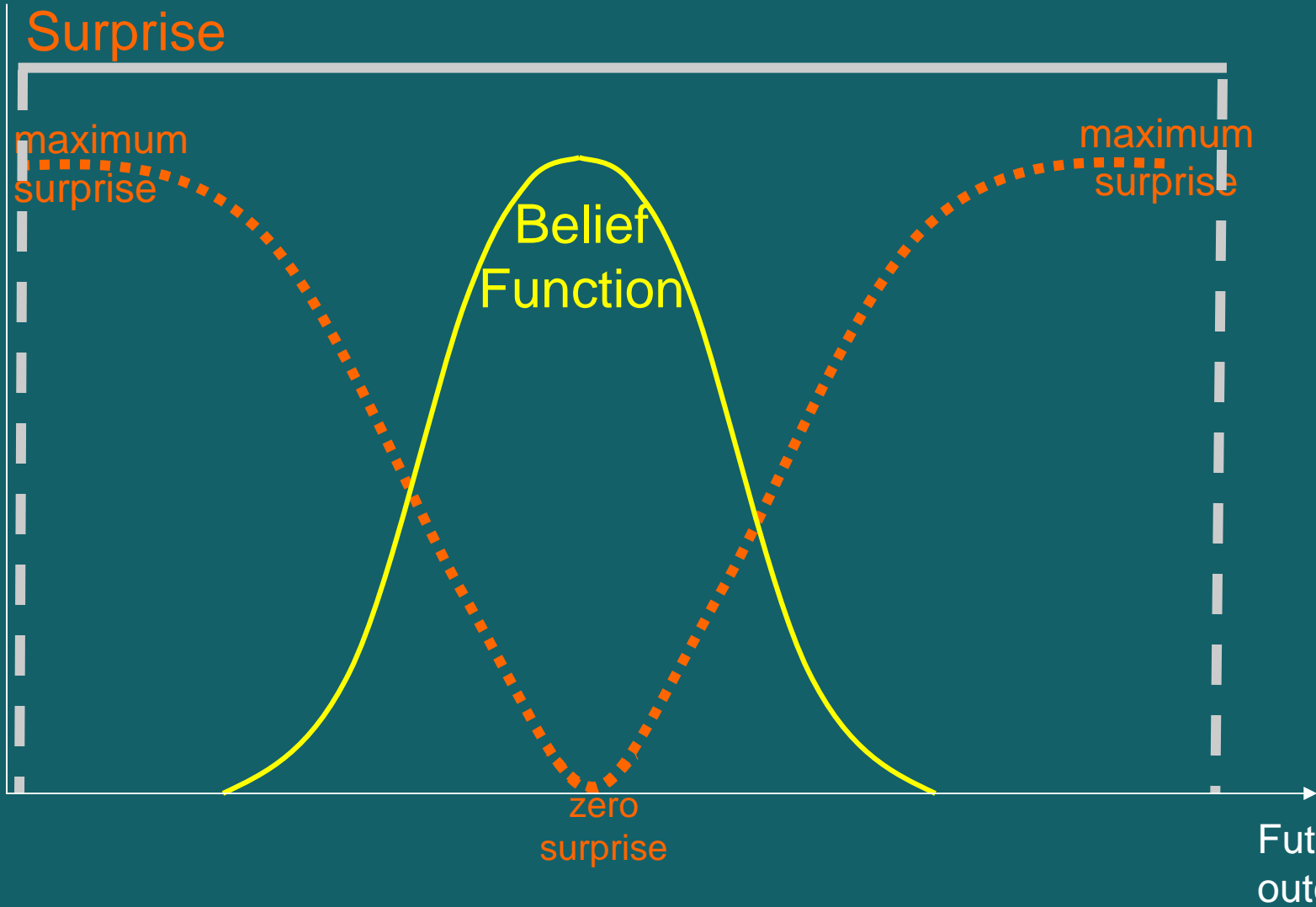
maximum
surprise

Belief
Function

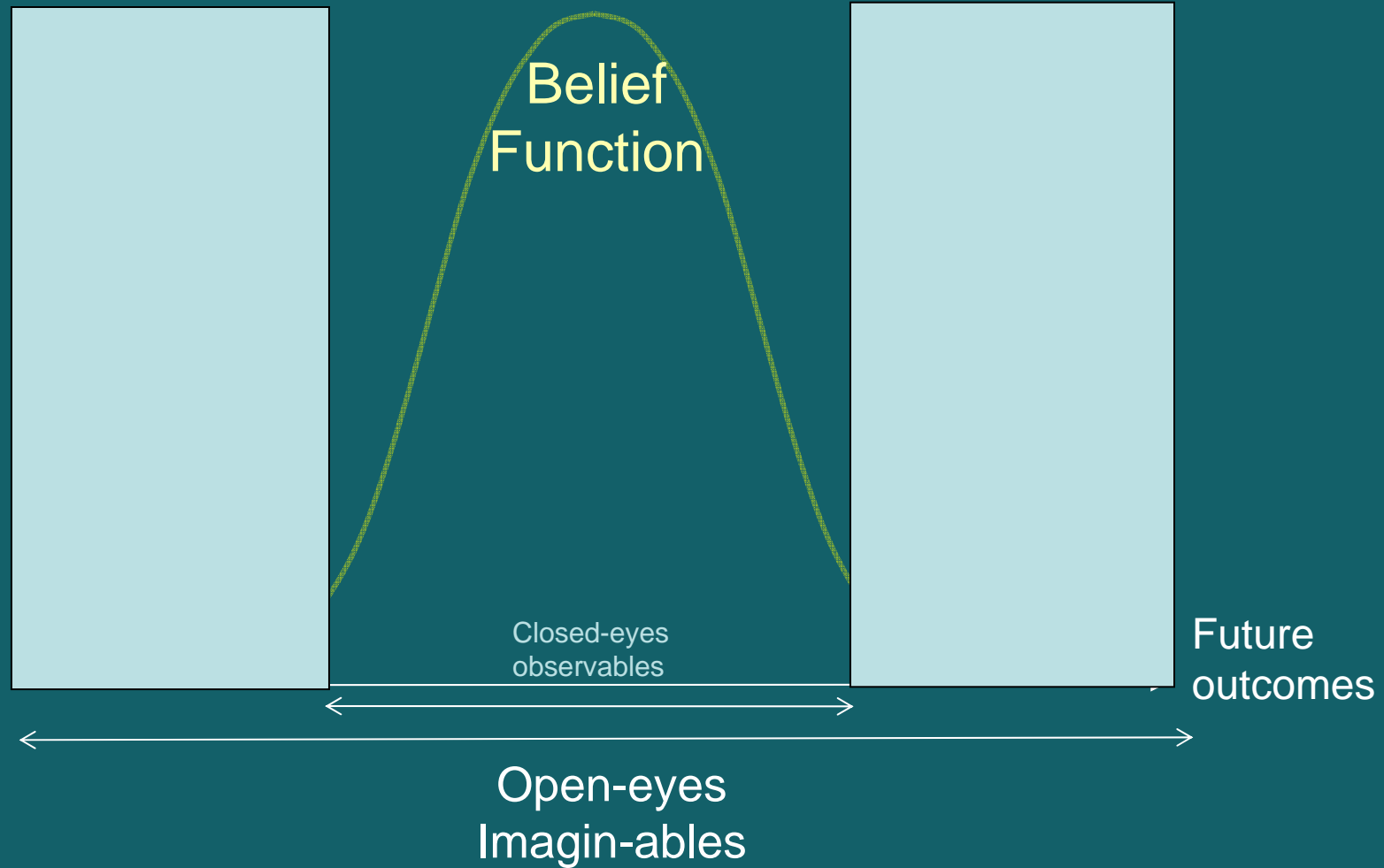
zero
surprise

Future
outcome

College of Management and Technology

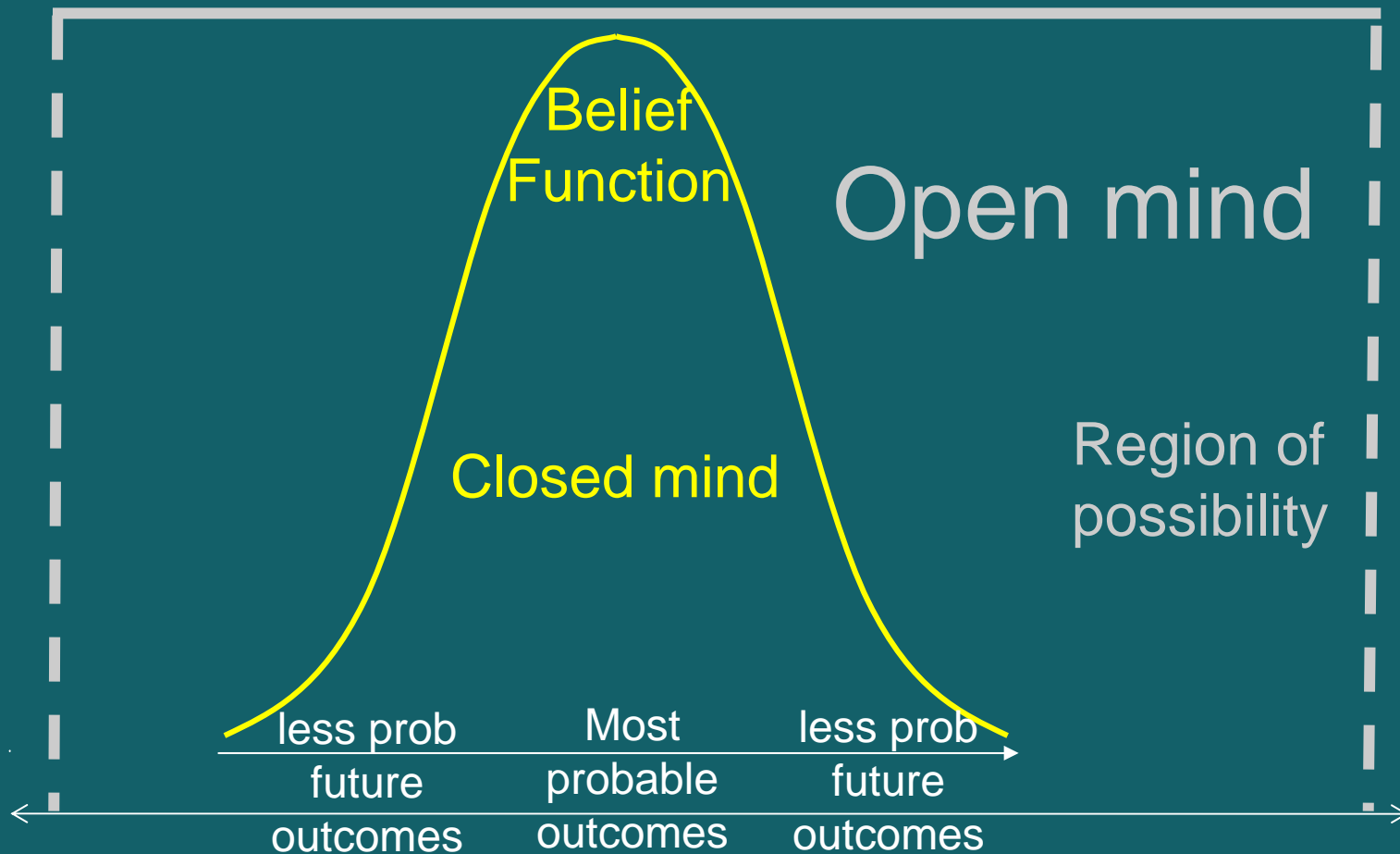


Closed-eyes / open-eyes Institutional or individual 'blinds'



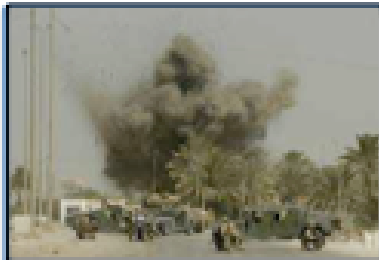
Closed-mind / open-mind

Probabilistic models / possible futures



Closed-open matrix: some illustrations

Open
mind



A very scary
place to be!

Let us see....

We know it's there
but we can't see it

What might be deemed
possible if we adopt
different viewpoints and
widen scope of view...?

Closed
mind

We see only what our
models predict via our
set of indicators

Able to see 'out of model'
events but can only
respond to what is known



So this is what
will happen next

Just deal with it as
if it's a white swan



Closed
- eyes

Open
- eyes

Option-making

Comfort of closed eyes and minds relates to a restricted set of options, which may be due to:

- institutional pressures to conform (e.g. blame culture);
- lack of confidence in people to allow discretionary trust, etc.

Understand where people are in terms of their ranges of options.

- What might be the implications of painting others or yourself into a corner?

Encourage use of narrative and imagination in order to create new options (e.g. creating 'hedging' options to deal with ambiguity).

Opening options will then naturally extend sense-making.

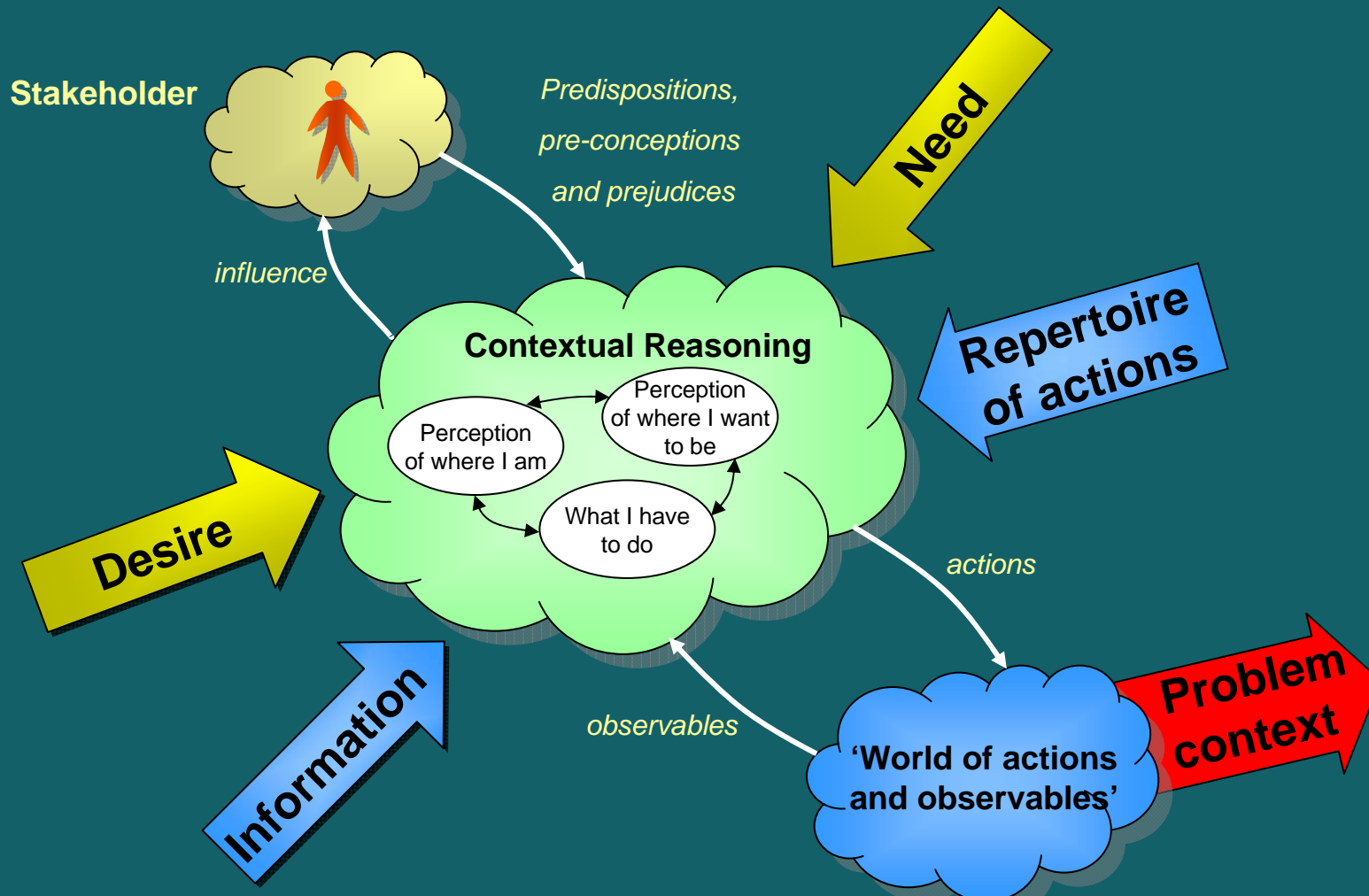
- Understanding and avoiding pacific shrimp syndrome.

'Staged' Appreciation

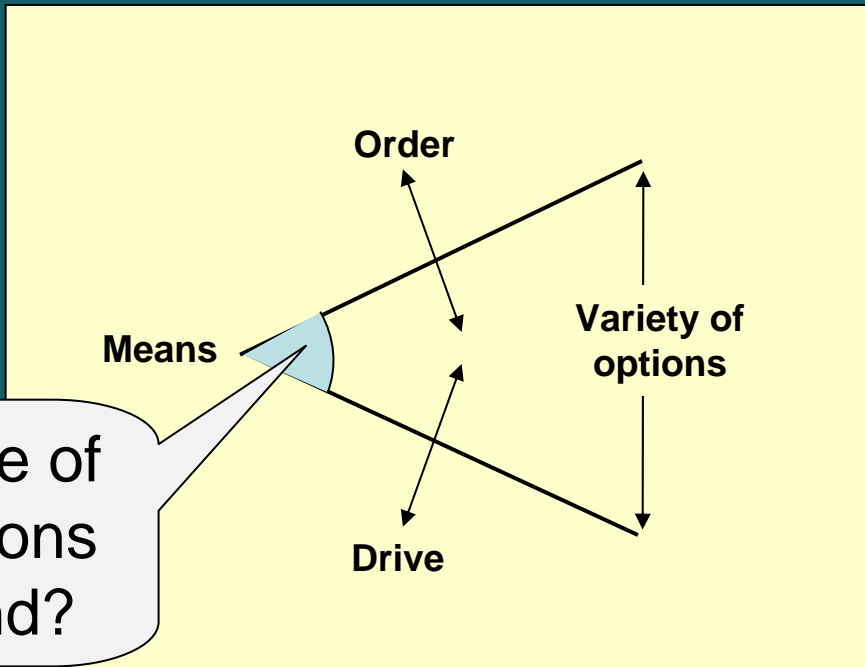
- Where people are
- Sense-making: open-eyes/open-mind
- Belief / surprise
- Choice-making
- Focus function and preference
- Stakeholder viewpoints and multi-perspectives

See written paper for more detail of the appreciation of the situation as a whole.

Concept of a stakeholder viewpoint: single viewpoint



Clausewitz meets Turing



...ple's degree of
...edom of options
...at lies behind?

Different people's perspectives

With respect to any socially focused future option:

How might it affect people in terms of what they really care about?

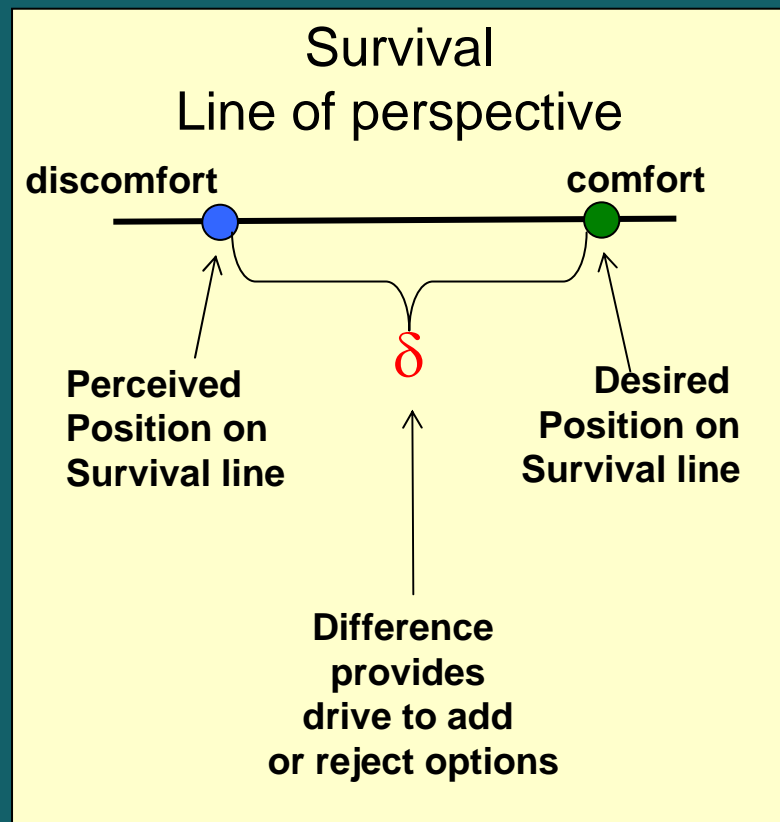
From a perspective of:

Day-to-day survival

Educational

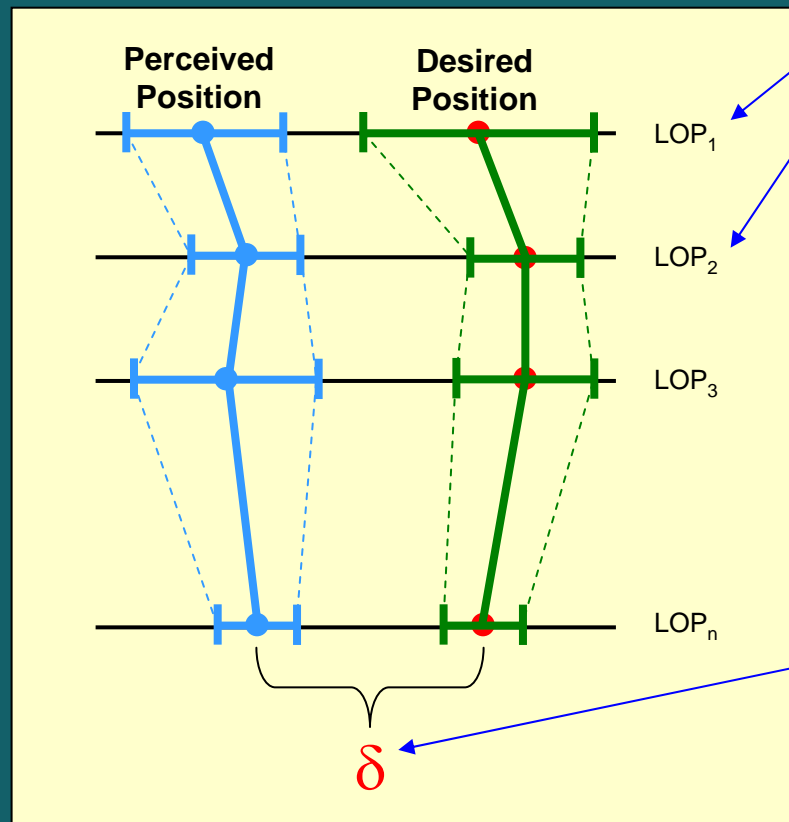
Financial

...



Drive to add or reject options

Lines of Perspective:
What people care about.

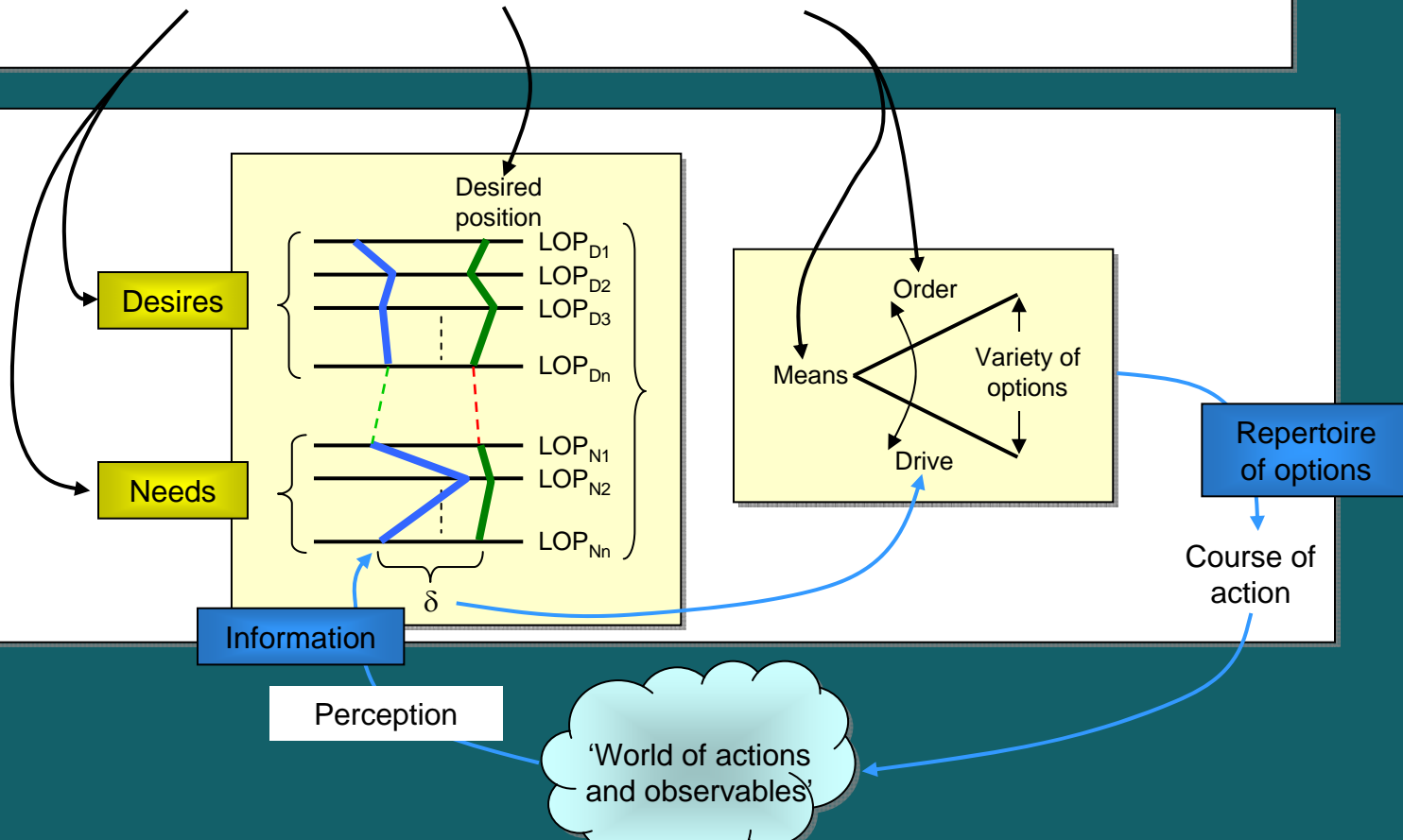


Lines of perspective such as survival, social, educational, financial, etc

Stakeholder's drive for action

An analytical framework: single stakeholder

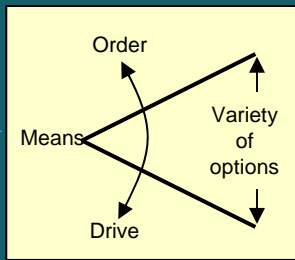
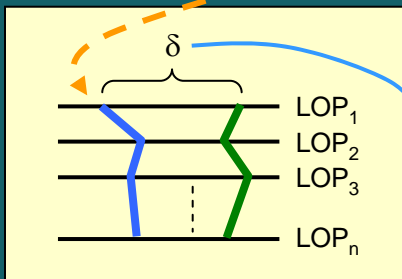
Stakeholder's value system with respect to future option (e.g. paying supportive locals)



“What if...?” analysis

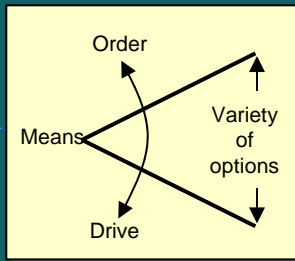
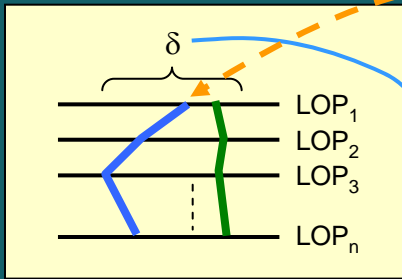
‘If we do this, how might this affect others?’

Viewpoint:
Diaspora



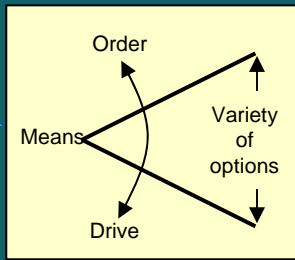
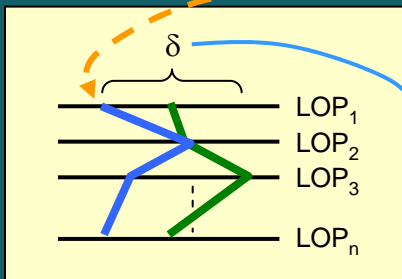
Course of action

Viewpoint:
Council

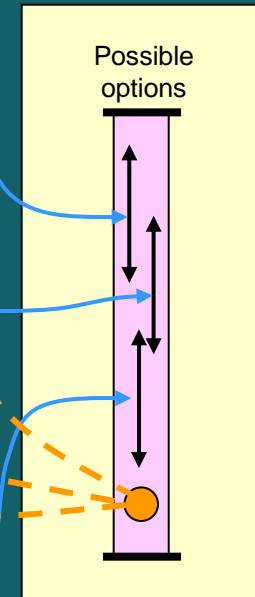


Course of action

Viewpoint:
Old-timers



Course of action



Take aways

Think about how to visualise a social landscape for *where people are*.

Where there's a way there's a will – what lies behind behaviours?

Black swans signify need for internal adaptation – subjective surprise.

Open inquiry is about supporting collaboration by enabling us to put on someone else's shoes*.

*helps us to take our own off first!



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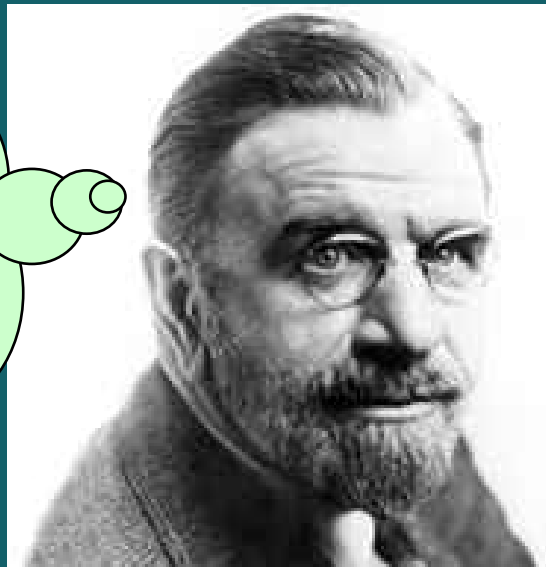
Questions?

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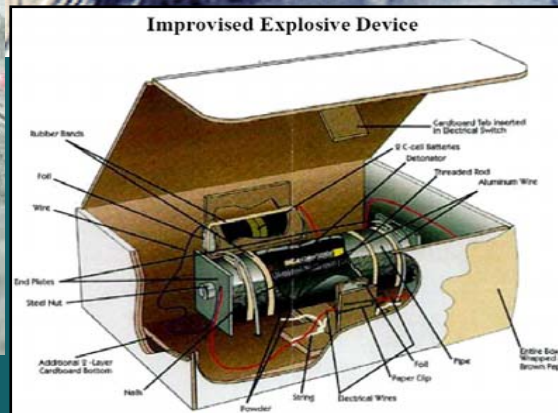
*If everybody is
thinking alike,
then somebody isn't
thinking.*

*General Jan Smuts
one-time terrorist*



Illustrative Example: Counter-IED

Options for action



Candidate questions

- Candidate forward-looking "What if?" question:
 - Is it deemed reasonable to pay for IEDs to be handed-in? If so, how much?
- Candidate backward-looking "Why?" question:
 - Why did the number of IED incidents not decrease during poppy harvest in 2007?
- Within our adaptive analytical framework, "why?" questions are very challenging due to the multiplicity of frameworks required.

Potential Stakeholders for C-IED in Afghanistan

- Coalition Command
- Ordinary Soldier
- **ATOs (ie IEDD operators)**
- Local population (Collateral)
- **Local population (Protection)**
- Bomb operatives (inc. finance & training)
- Afghan forces (inc. police)
- UK Public
- UK Government
- Tribal Elders (inc. District Councillors)
- **Taleban**
- Businessmen (Legitimate)
- NGOs
- Afghan Government
- Businessmen (Non-legitimate)
 - (inc. narcotics/weapons trading)
- **Media**
- UK Analysts (Int. etc)
- ...

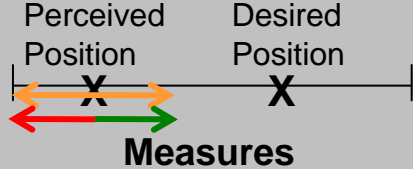
Stakeholder interests

Stakeholder	What defines main aspects of stakeholder interest in IED.
Taleban	IED as force element
ATO Operator	IED as device to be 'made safe'
Local population	IED as personal threat or opportunity
Media	IED as news-story element

Context: paying for IED

Stakeholder	What defines main aspects of stakeholder interests in IED	line of perspective	Measures
Victim	IED as force element	<ul style="list-style-type: none"> • Geographical • Financial • Educational • Ideological • Social • Societal • Technical • Organizational • Political • Operational 	
Operator	IED as device to be 'made safe'	<ul style="list-style-type: none"> • Geographical • Professional • Social • Operational • Technical • Analytical • Organizational 	

Context: paying for IED

Stakeholder	What defines main aspects of stakeholder interests in IED	line of perspective	 <p>Perceived Position Desired Position</p> <p>Measures</p>
In	IED as force element	<ul style="list-style-type: none"> • Geographical • Financial • Educational • Ideological • Social • Societal • Technical • Organizational • Political • Operational 	<ul style="list-style-type: none"> • No. of regions of influence • Level of self-financing • number of schools • % signed-up to ideology • Taliban with referent power • Re-established societal control • numbers with IED skills • % positions of authority • Degree of Governmental power • % reqd operative status
Operator	IED as device to be 'made safe'	<ul style="list-style-type: none"> • Geographical • Professional • Social • Operational • Technical • Analytical • Organizational 	<ul style="list-style-type: none"> • % secure patrols & safe areas • Degree of achievement • Extent of social knowledge • % reqd operative status • Extent of device knowledge • Amount of support • Degree of autonomy

Discussion of multi-perspective approach

- Adopting different viewpoints and considering multiple perspectives has potential for providing interesting cross-stakeholder insights.
- The conceptual framework supports a more open and subjective approach to analysis.
- The outline analytical method helps to open-up inquiry and tries to avoid advocacy – visualisation needs further work.
- The approach encourages thinking about possibility in addition to probability – also brings out importance of addressing surprise in addition to beliefs and expectations.

QUESTIONS?

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