

# On Optimizing Command and Control Structures

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# Overview

- **Information Theory, Graph Theory and C2**
- **Information Flow Simulation**
- **Information Theory and Control**
- **Information Flow with Actuation Simulation**
- **Summary**

# Information Theory and C2

- **C2 process**  $x(t)$
- **Discrete state space**  $X = \{x_i\}$
- **Descriptive complexity (Hartley Information)** –  $\log_2 |X|$
- **Uncertainty (Shannon entropy)** –  $H(x(t)) = - \sum P(x_i, t) \log_2 P(x_i, t)$
- **Information** –  $I(x_i, t) = - \log_2 P(x_i, t)$
- **Information Volume** – sum of all information of all entities
- **Observation** –  $S = (\xi, t)$

# Information Theory and C2

## *Information Decay due to Entropic Drag*

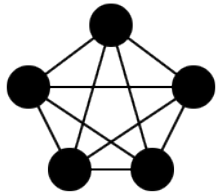
- **Typically,**  $H(x(t')|S) > H(x(t)|S)$  for  $t' > t$ 
  - Indicates a loss of information of the observation  $S = (\xi, t)$
  - We call this decay entropic drag
- **Sequence of observations**  $S_{1:k} = \{(\xi_j, t_j)\}$
- **Define the entropic drag**

$$\Gamma(S_{1:k}, t_k, t') = \frac{H(x(t')|S_{1:k})}{t' - t_k}$$

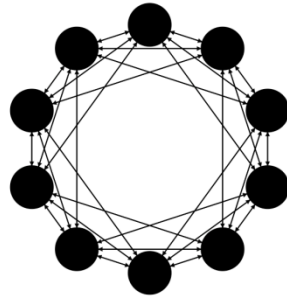
# Graph Theory and C2

- **Model the various entities and interconnections as a graph  $G = (V, E)$**
- **Vertex set  $V$  represents entities**
  - Sensors a subset of  $V$  and observe  $x(t)$
  - Entities share info with neighbors and process info
- **Edge set  $E$  represents comm links**
- **Sensors are exogenous comm channels**

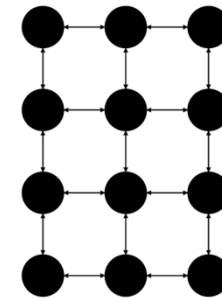
# Sample Graph Topologies



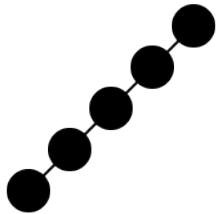
Fully Connected



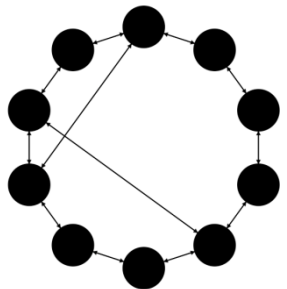
3-Ring



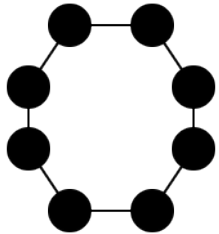
3x4 Grid



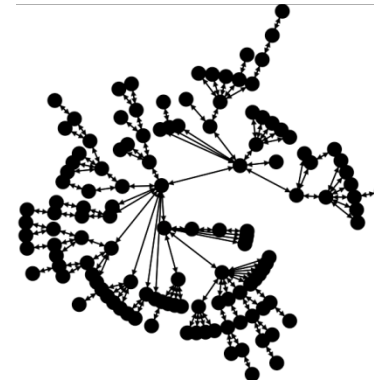
Path



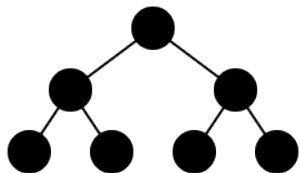
1-Ring+2  
(small world)



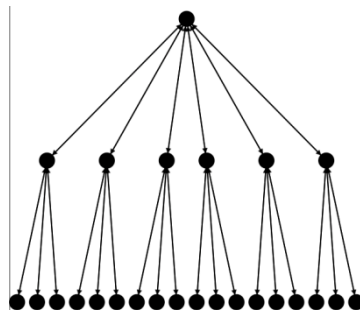
Ring



(1,1)  
Scale-free



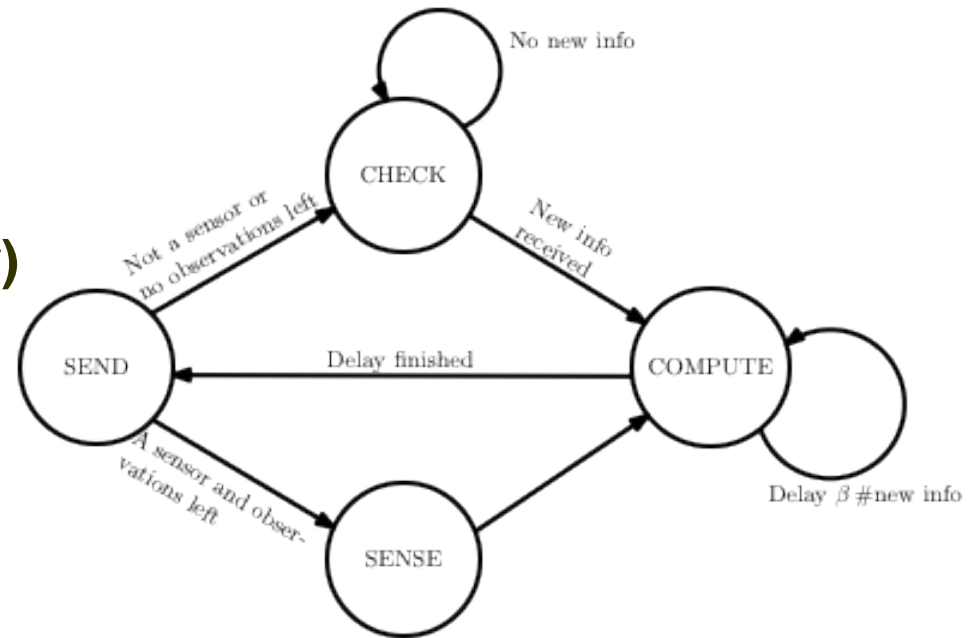
Binary Tree



[6,3] Regular Tree

# Information Flow Simulation

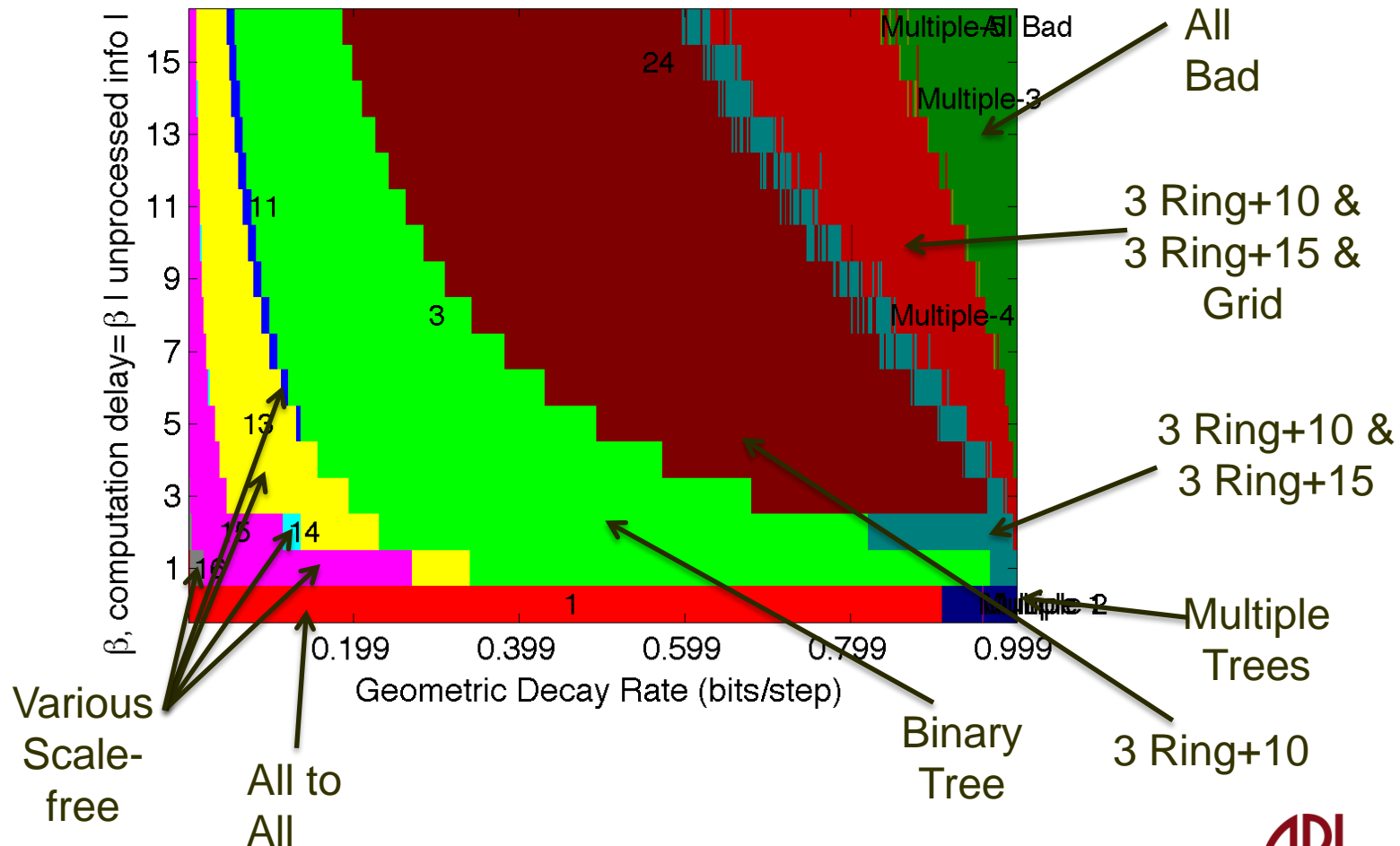
- **SEND, SENSE, COMPUTE and CHECK states**
- **Computational time (complexity) linearly proportional to new information received ( $\beta$ )**
- **Information decays via a geometric decay rate ( $\Gamma$ )**
- **Investigating peak processed information volume to single observation**



# Topology Impact on Situational Awareness

## 30 topologies compared

Dominance Plot for Communication Topologies  
 #Nodes=127 #Sensors=64





# Information Theory and Control

- **Control actuations change the state probabilities of the system**
- **(Hopefully) these changes create an increase in order**
  - Called *negentropy*
- **An actuation  $A$  has negentropy  $I_A(t) = H(x(t)|A)$**
- **A sequence  $A_{1:k}$  has negentropic drag**

$$\Gamma_A(A_{1:k}, t_k, t') = \frac{H(x(t')|A_{1:k})}{t' - t_k}$$

- **Negentropy measures increase in order but not the quality of decision!**
  - This requires the notion of *utility*

# Information Flow with Actuation Simulation

- **Extended simulation by adding control**
- **Sensors now sense and actuate**
- **Entities pass information up the hierarchy**
- **Entities pass actuations down the hierarchy**
- **Entities compute intermediate actuations for the actuators below them in the hierarchy**

# Information Flow with Actuation Simulation

- **Only trees compared, but multiple sensor waiting behaviors**
  - Idle, re-sense, and re-sense+re-process
- **All graphs had 64 sensors, but varying intermediate nodes**
- **Using total information behind actuations as metric**
  
- **Results indicate different regions of decay rate where different topologies and sensor behaviors outperform others**

# Summary

- **Information theory can be used to characterize C2 processes**
- **Graph theory is a natural model for C2 interconnections**
- **Entropic drag and computational complexity help determine optimal C2 topologies**

# Related Work at JHU/APL

- **Extensions – fusion/truncation, processing models, gossip, abstraction, etc.**
- **Genetic algorithm to find better topologies**
- **Agile controllers**
- **Information-theoretics of human/AUV coalitions**
- **Counter-C2**